

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
19 April 2001 (19.04.2001)

PCT

(10) International Publication Number  
**WO 01/28127 A1**

(51) International Patent Classification<sup>7</sup>: **H04B 7/005**,  
H04L 1/18

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(21) International Application Number: PCT/US00/27534

(22) International Filing Date: 7 October 2000 (07.10.2000)

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(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/158,446 9 October 1999 (09.10.1999) US  
09/426,016 22 October 1999 (22.10.1999) US

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

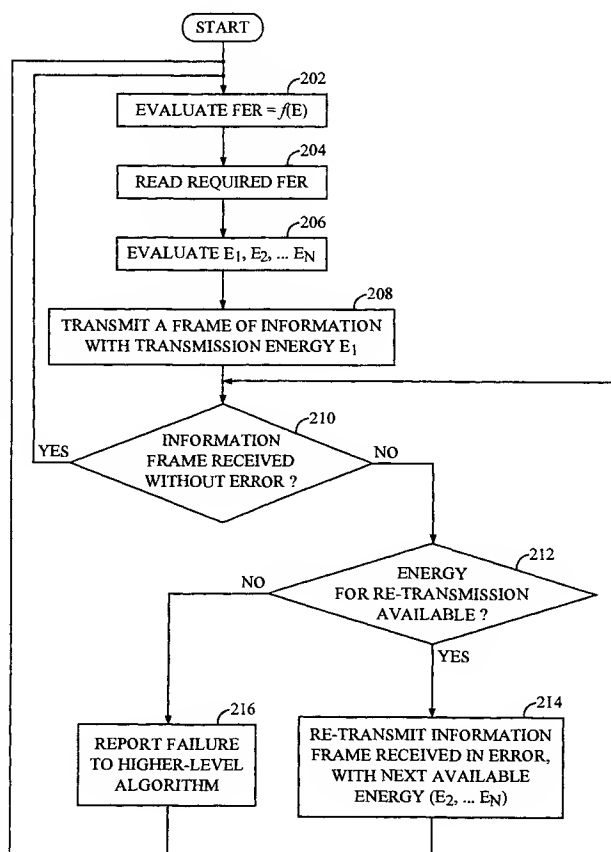
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(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE,

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[Continued on next page]

(54) Title: METHOD AND APPARATUS FOR MINIMISING TOTAL TRANSMISSION ENERGY IN A COMMUNICATION SYSTEM BY USING CHANNEL QUALITY



(57) Abstract: Method and apparatus for transmitting a signal at a predetermined level of reliability using retransmission of erroneously transmitted frames so as to minimize total transmission energy. The transmitting station evaluates the frame error rate (FER) as a function of energy. Next, the transmitting station determines a combination of initial transmission energies and retransmission energies that will provide the target level of reliability while minimizing the total transmission energy employed in the initial transmission and the retransmissions. The transmitting station transmits a frame with an initial transmission energy. Employing conventional feedback methods the transmitting station is alerted to the occurrence of frame errors at the receiving station. The transmitting station upon notification of a frame error retransmits the frame with an energy determined to minimize the total energy required to transmit the frame with a predetermined level of reliability.

WO 01/28127 A1



IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

**Published:**

— *With international search report.*

METHOD AND APPARATUS FOR MINIMISING TOTAL TRANSMISSION ENERGY IN A  
COMMUNICATION SYSTEM BY USING CHANNEL QUALITY

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## BACKGROUND OF THE INVENTION

### I. Field of the Invention

The current invention relates to communications. More particularly, the  
10 present invention relates to a method and apparatus for minimizing the total  
energy necessary for transmitting an information signal at a required level of  
reliability.

### II. Description of the Related Art

15 The use of code division multiple access (CDMA) modulation techniques  
is one of several techniques for facilitating communications in which a large  
number of system users are present. Although other techniques such as time  
division multiple access (TDMA), frequency division multiple access (FDMA),  
and AM modulation schemes such as amplitude companded single sideband  
20 (ACSSB) are known, CDMA has significant advantages over these other  
techniques. The use of CDMA techniques in a multiple access communication  
system is disclosed in U.S. Patent No. 4,901,307, entitled "SPREAD SPECTRUM  
MULTIPLE ACCESS COMMUNICATION SYSTEM USING SATELLITE OR  
TERRESTRIAL REPEATERS," and assigned to the assignee of the present  
25 invention and incorporated by reference herein. The use of CDMA techniques  
in a multiple access communication system is further disclosed in U.S. Patent  
No. 5,103,459, entitled "SYSTEM AND METHOD FOR GENERATING SIGNAL  
WAVEFORMS IN A CDMA CELLULAR TELEPHONE SYSTEM", assigned to  
the assignee of the present invention and incorporated by reference herein. The  
30 CDMA system can be designed to conform to the "TIA/EIA/IS-95 Mobile  
Station-Base Station Compatibility Standard for Dual-Mode Wideband Spread  
Spectrum Cellular System", hereinafter referred to as the IS-95 standard.

The CDMA system is a spread spectrum communication system. The benefits of spread spectrum communication are well known in the art and can be appreciated by reference to the above-cited references. CDMA, by its inherent nature of being a wideband signal, offers a form of frequency diversity by spreading the signal energy over a wide bandwidth. Therefore, frequency selective fading affects only a small part of the CDMA signal bandwidth. Space or path diversity is obtained by providing multiple signal paths through simultaneous links to a mobile user or remote station through two or more base stations. Furthermore, path diversity may be obtained by exploiting the multipath environment through spread spectrum processing by allowing signals arriving with different propagation delays to be received and processed separately. Examples of path diversity are illustrated in U.S. Patent No. 5,101,501 entitled "METHOD AND SYSTEM FOR PROVIDING A SOFT HANDOFF IN COMMUNICATIONS IN A CDMA CELLULAR TELEPHONE SYSTEM," and U.S. Patent No. 5,109,390 entitled "DIVERSITY RECEIVER IN A CDMA CELLULAR TELEPHONE SYSTEM," both assigned to the assignee of the present invention and incorporated by reference herein.

Code division multiple access communications systems have been standardized in the United States in Telecommunications Industry Association TIA/EIA/IS-95-B, entitled "MOBILE STATION-BASE STATION COMPATIBILITY STANDARD FOR DUAL-MODE WIDEBAND SPREAD SPECTRUM CELLULAR SYSTEMS", incorporated by reference herein, and hereinafter referred to as IS-95-B.

IS-95-B was originally optimized for transmission of variable-rate voice frames. In order to support two-way voice communications, as typified in wireless phone applications, it is desirable that a communication system provide fairly constant and minimal data delay. For this reason, IS-95-B systems are designed with powerful forward error correction (FEC) protocols and vocoders which are designed to respond gracefully to voice frame errors. Error control protocols which require frame retransmission procedures add unacceptable delays to voice transmission, so are not designed into the IS-95-B specification.

The optimizations, which make the stand-alone IS-95-B specification ideal for voice applications, make it difficult to use for packet data applications. In many non-voice applications, such as the transmission of Internet protocol (IP) data, the delay requirements of the communication system are much less stringent than in voice applications. In the Transmission Control Protocol (TCP), probably the most prevalent of protocols used in an IP network, virtually infinite transmission delays are allowed in order to guarantee error-free transmission. TCP uses retransmissions of IP datagrams, as IP packets are commonly called, to provide this transport reliability.

IP datagrams are generally too large to fit into a single IS-95-B frame. Even after dividing an IP datagram into segments small enough to fit into a set of IS-95-B frames, the entire set of IS-95-B frames would have to be received without error for the single IP datagram to be useful to TCP. The targeted frame error rate typical of an IS-95-B system make the probability of error-free reception of all segments of a single datagram very low.

As described in IS-95-B, alternative service options enable the transmission of other types of data in lieu of voice frames. Telecommunications Industry Association Interim Standard TIA/EIA/IS-707-A, entitled "DATA SERVICE OPTIONS FOR SPREAD SPECTRUM SYSTEMS", hereinafter referred to as IS-707, describes a set of procedures used in the transmission of packet data in an IS-95-B system.

Radio Link Protocol (RLP) is described in TIA/EIA/IS-707-A.8, entitled "DATA SERVICE OPTIONS FOR SPREAD SPECTRUM SYSTEMS: RADIO LINK PROTOCOL TYPE 2", hereinafter referred to as RLP2, and incorporated herein by reference. RLP2 incorporates an error control protocol with frame retransmission procedures over the IS-95-B frame layer. RLP is of a class of error control protocols known NAK-based ARQ protocols, which are well known in the art. The IS-707 RLP, facilitates the transmission of a byte-stream, rather than a series of voice frames, through an IS-95-B communication system.

Several protocol layers typically reside above the RLP layer. IP datagrams, for example, are typically converted into a Point-To-Point Protocol (PPP) byte stream before being presented as a byte stream to the RLP protocol

layer. As the RLP layer ignores the protocol and framing of higher protocol layers, the stream of data transported by RLP is said to be a "featureless byte stream".

RLP was originally designed to satisfy the requirements of sending large datagrams through an IS-95-B channel. For example, if an IP datagram of 500 bytes were to be simply sent in IS-95-B frames carrying 20 bytes each, the IP datagram would fill 25 consecutive IS-95-B frames. Without some kind of error control layer, all 25 of these RLP frames would have to be received without error in order for the IP datagram to be useful to higher protocol layers. On an IS-95-B channel having a 1% frame error rate, the effective error rate of the IP datagram delivery would be  $(1 - (0.99)^{25})$ , or 22%. This is a very high error rate compared to most networks used to carry Internet Protocol traffic. RLP was designed as a link layer protocol that would decrease the error rate of IP traffic to be comparable to the error rate typical of a 10Base2 ethernet channel.

The International Telecommunications Union recently requested the submission of proposed methods for providing high rate data and high-quality speech services over wireless communication channels. A first of these proposals was issued by the Telecommunications Industry Association, entitled "The cdma2000 ITU-R RTT Candidate Submission. The Telecommunications Industry Association is currently developing the cdma2000 proposal as interim standard TIA/EIA/IS-2000, and hereinafter referred to as cdma2000. A second of these proposals was issued by the European Telecommunications Standards Institute (ETSI), entitled "The ETSI UMTS Terrestrial Radio Access (UTRA) ITU-R RTT Candidate Submission", also known as "wideband CDMA" and hereinafter referred to as W-CDMA. A third proposal was submitted by U.S. TG 8/1 entitled "The UWC-136 Candidate Submission", hereinafter referred to as EDGE. The contents of these submissions is public record and is well known in the art.

RLP2 was designed for use with IS-95-B. A new RLP designed for use with cdma2000 is described in TIA/EIA/IS-707-A-1.10, entitled "DATA SERVICE OPTIONS FOR SPREAD SPECTRUM SYSTEMS: RADIO LINK

PROTOCOL TYPE 3", hereinafter referred to as RLP3E, and incorporated herein by reference.

## SUMMARY OF THE INVENTION

5

The present invention is a novel method and apparatus for transmitting a signal at a predetermined level of reliability using retransmission of erroneously transmitted frames. The present invention describes a method of maximally efficient transmission in terms of transmission energy in a system  
10 employing retransmission of erroneously received frames of data.

The transmitting station evaluates the frame error rate (FER) as a function of energy. In one embodiment, the transmitting station adaptively evaluates feedback information received from the receiving station. In another embodiment, the transmitting station evaluates conditions of a transmission  
15 channel, e.g., attenuation, fading, number of multipaths, relative velocity of the RS and the BS, data rate. The transmitting station then uses a look-up table, containing simulated FER as a function of energy for all potential channel conditions, to select the proper relationship for given conditions.

Next, the transmitting station determines a combination of initial  
20 transmission energies and retransmission energies that will provide the target level of reliability while minimizing the total transmission energy employed in the initial transmission and the retransmissions. The present invention provides a closed form solution for determining the most effective transmission energies for the initial transmission and the retransmission for an important  
25 class of functions describing the relation between FER and energy. The present invention further suggests methods for determining the most effective transmission energies for the initial transmission and the retransmission for other classes of functions describing the relation between FER and energy.

The transmitting station transmits a frame with initial transmission  
30 energy. Employing conventional feedback methods, the transmitting station is alerted to the occurrence of frame errors at the receiving station. The transmitting station upon notification of a frame error retransmits the frame

with energy determined to minimize the total energy required to transmit the frame with a predetermined level of reliability.

## BRIEF DESCRIPTION OF THE DRAWINGS

5

The features, objects, and advantages of the present invention will become more apparent from the detailed description set forth below when taken in conjunction with the drawings in which like reference characters identify correspondingly throughout and wherein:

10       **FIG. 1** is a conceptual drawing showing an exemplifying embodiment of a terrestrial wireless communication system.

**FIG. 2** is a flowchart illustrating the process of accomplishing minimization of total retransmission energy in accordance with one embodiment of the invention.

15       **FIG. 3** is a block diagram of an exemplary embodiment of a transmitting station configured for use in connection with the invention.

## DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

20       **FIG. 1** shows an exemplifying embodiment of a terrestrial wireless communication system, represented by a base-station (BS) **102** and a remote-station (RS) **104**, communicating over a forward link **106**, carrying information from BS **102** to RS **104**, and a reverse link **108**, carrying information from RS **104** to BS **102**. Information communicated between BS **102** and RS **104** is required to  
25       meet a predetermined reliability level. In the exemplary, embodiment the information on forward link signal **106** is transmitted in frames and the required reliability level is expressed as a target frame error rate (FER) as received by RS **104**.

      One method of achieving the required FER is retransmission. A  
30       transmitting station transmits information, contained in frames, with a first energy ( $E_1$ ). The transmitted information is received by a receiving station with



a first FER1. The receiving station reports the first FER1 and identity of those frames received in error back to the transmitting station. The transmitting station selects a second transmission energy ( $E_2$ ), and re-transmits the frames received in error. The receiving station receives the frames with a second FER2.

- 5 When the energies  $E_1$  and  $E_2$  are properly selected, the effective FER after the second transmission will be equal to the target FER.

There are infinite number of combinations of  $E_1$  and  $E_2$  assuring the effective FER is equal to the target FER. Because communications systems, and CDMA communication systems in particular, are noise limited, it is  
 10 advantageous to choose  $E_1$  and  $E_2$  in a manner yielding minimum total transmission energy. The total transmission energy,  $\langle E \rangle$ , is equal to the energy used for the first transmission plus the energy for retransmission of those frames initially received in error:

$$15 \quad \langle E \rangle = E_1 + f(E_1) \cdot E_2, \quad (1)$$

where  $E_1$  is energy for the first transmission,

$E_2$  is energy for retransmission, and

$f(E_1)$  is a frame error rate for transmission with energy  $E_1$ .

- 20 The condition that the effective FER be equal to the target FER can be expressed as:

$$T_{FER} = f(E_1) \cdot f(E_2), \quad (2)$$

- 25 where  $T_{FER}$  is the target frame error rate and the effective frame error rate is the product of,

$f(E_1)$  is a frame error rate for transmission with energy  $E_1$ , and

$f(E_2)$  is a frame error rate for transmission with energy  $E_2$ .

Thus, the task of selecting  $E_1$  and  $E_2$  for minimal total energy  $\langle E \rangle$ , while assuring that effective FER after the second transmission will be equal to the target FER, is equivalent to solving equation (1) subject to a constraint (2). Any method of solving the equation (1) subject to a constraint (2) requires the  
 5 knowledge of a FER as a function of energy or a measure of energy as illustrated in equation (3).

$$FER = f(E), \quad (3)$$

10 where the energy measure  $E$  may for example be the energy-per-bit over noise ( $\frac{E_b}{N_0}$ ). This relationship is a function of several variables, including, but not being limited to attenuation, fading, number of multipaths, relative velocity of RS 104 and BS 102.

Once the relationship of equation (3) has been determined, various  
 15 methods can be used to solve equation (1) subject to the constraint expressed in equation (2). Thus, for an important class of functions, an analytical solution is obtainable. However, one ordinarily skilled in the art will readily recognize that the equation (1) subject to the constraint expressed in equation (2) is always solvable employing numerical methods. In one approach toward analytical  
 20 solution, it is convenient to change the scale from linear to logarithmic coordinates. First, equation (1) is normalized by introducing an arbitrary constant energy scale  $E_0$ .

$$\left\langle \frac{E}{E_0} \right\rangle = \frac{E_1}{E_0} + f(E_1) \cdot \frac{E_2}{E_0}. \quad (4)$$

25

Because the frame error rate can assume values between 0 and 1, the logarithmic coordinates are then defined as:

$$x = \ln f(E), \quad x \in (-\infty, 0) \quad (5)$$

$$y = \ln\left(\frac{E}{E_0}\right), \quad y \in (-\infty, \infty) \quad (6)$$

Substituting (5) and (6) into (4) and (2) yields:

$$\left\langle \frac{E}{E_0} \right\rangle = e^{y_1} + e^{(x_1+y_2)} \quad (7)$$

$$5 \quad \ln T_{FER} = x_1 + x_2 \quad (8)$$

The dependence of equation (7) on  $x_2$  can be eliminated by substitution using equation (8). The stationary point of the total energy as described in equation (7) can be determined taking the derivative of Equation 7 with respect to  $x_1$  and equating it to zero:

$$\frac{d\left\langle \frac{E}{E_0} \right\rangle}{dx_1} = e^{y_1} y_1' + e^{(x_1+y_2)} \cdot (1 - y_2') = 0, \quad (9)$$

where  $y_1'$  is the derivative of  $y_1$  with respect to  $x_1$ , and  $y_2'$  is the derivative of  $y_2$  with respect to  $x_2$ . Once the stationary point of the total energy is obtained from equation (9), a second derivative of equation (7) is computed and compared to zero, to guarantee that the stationary point of the total energy is a minimum:

$$\frac{d^2\left\langle \frac{E}{E_0} \right\rangle}{dx_1^2} = e^{y_1} \cdot (y_1'^2 + y_1'') + e^{(x_1+y_2)} \cdot ((1 - y_2')^2 + y_2'') > 0, \quad (10)$$

where  $y_1''$  is the second derivative of  $y_1$  with respect to  $x_1$ , and  $y_2''$  is the second derivative of  $y_2$  with respect to  $x_2$ .

For many realistic channel conditions the FER is a power-like function of energy:

$$f(E) = f_0 \left( \frac{E}{E_0} \right)^{-\gamma} \quad (11)$$

Transformation of Equation (11) in accordance with equations (5) and (6) yields:

$$5 \quad y = (x_0 - x) / \gamma, \quad (12)$$

where  $x_0 = \ln f_0$  and equations (7) and (8) are substantially simplified because:

$$y_1' = y_2' = -\frac{1}{\gamma} \quad (13)$$

$$y'' = 0 \quad (14)$$

10

Substituting equations (13) and (14) into equations (7) and (8), and solving equations (7) and (8) yields a closed form solution:

$$x_1 = \frac{[\ln T_{FER} - \gamma \cdot \ln(\gamma + 1)]}{\gamma + 2} \quad (15)$$

$$15 \quad x_2 = \ln T_{FER} - x_1 \quad (16)$$

$$y_1 = \frac{(\gamma + 1) \cdot \ln T_{FER} + \gamma \cdot \ln(\gamma + 1)}{\gamma \cdot (\gamma + 2)} \quad (17)$$

$$y_2 = y_1 - \frac{\ln[T_{FER} \cdot (\gamma + 1)^2]}{(\gamma + 2)} \quad (18)$$

$$\ln\left(\left\langle \frac{E}{E_0} \right\rangle_{\min}\right) = y_1 + \ln\left(\frac{\gamma + 2}{\gamma + 1}\right) \quad (19)$$

Interpretation of Equation 19 clarifies that retransmission in accordance with  
20 the above outlined principles is advantageous if Equation 20 holds:

$$f(E_1) \cdot f(E_2) \geq f(E_1 + f(E_1) \cdot E_2) \quad (20)$$

If Equation 20 does not hold, it is more advantageous to transmit:

$$\langle E \rangle = f(E_1 + f(E_1) \cdot E_2) \quad (21)$$

This happens for very large values of  $\gamma$ .

5 Although the retransmission method, and its mathematical treatment was simplified for tutorial purposes to one transmission, and one retransmission, the principle is readily extendable to any arbitrary number (N) retransmissions. One ordinarily skilled in the art will readily modify equation (1) to multiple retransmissions as follows:

10

$$\langle E \rangle = E_1 + f(E_1) \cdot E_2 + f(E_1) \cdot f(E_2) \cdot E_3 + \dots + E_N \prod_{i=1}^{N-1} f(E_i) \quad (22)$$

Similarly equation 2 for multiple retransmission takes the form:

$$15 \quad T_{FER} = \prod_{i=1}^N f(E_i) \quad (23)$$

**FIG. 2** is a flowchart showing load estimation in accordance with the invention. Flow begins in block **202** in which the transmitting station evaluates FER as a function of energy. In one embodiment, the transmitting station adaptively evaluates feedback information received from the receiving station. In another embodiment, the transmitting station evaluates conditions of a transmission channel, e.g., attenuation, fading, number of multipaths, relative velocity of the RS and the BS, data rate. The transmitting station then uses a look-up table, containing simulated FER as a function of energy for all potential channel conditions, to select the proper relationship for given conditions.

In block **204**, the transmitting station reads the required FER.

In block **206**, the transmitting station evaluates the transmission energy for initial transmission  $E_1$ , and potential retransmissions  $E_2, \dots, E_N$ , in accordance with the principles outlined above. Thus, the transmitting station can use a pre-computed solution similar to equations 15 through 19 in a form of look-up table

when appropriate, or algorithms solving Equations 22 and 23 by analytical or numerical methods.

In block 208, the transmitting station transmits a frame of the information with transmission energy set to a value of  $E_1$ .

5 In block 210, the transmitting station evaluates whether the information frame transmitted was received without error. If the report from the receiving station is positive, the flow restarts in block 202. If the report from the receiving station is negative, the transmitting station evaluates in block 212, whether there is another transmission energy  $E_2, \dots, E_N$ . If the result of evaluation is  
10 positive, the transmitting station continues in block 214, by re-transmitting information frames that had been received in error with the next available energy, and the flow returns to block 210. If the result of evaluation is positive, the transmitting station reports the failure to a higher level algorithm in block 216, and the flow continues in block 202.

15 **FIG. 3** is a block diagram of an exemplary embodiment of a transmitting station configured for use in connection with the invention. The information to be transmitted is generated by a data source 302, and is provided to a channel element 304, which partitions the data, CRC encodes the data, and inserts code tail bits as required by the system. Channel element 304 then convolutionally  
20 encodes the data, CRC parity bits, and code tail bits, interleaves the encoded data, scrambles the interleaved data with the user long PN sequence, and covers the scrambled data with a Walsh sequence. The channel element 304 then provides the covered data to a gain stage 306, which scales the data in response to a signal from a processor 308, such that the data with required  
25 energy  $E_t$  is provided to a transmitter 310. The transmitter 310 spreads the scaled data with the short  $PN_I$  and  $PN_Q$  sequences. The spread data is then modulated with the in-phase and quadrature sinusoids, and modulated signal is filtered, upconverted, and amplified. The signal is transmitted on over the forward channel 106 if the transmitting station is a BS, or reverse channel 108 if  
30 the transmitting station is a RS through antenna 312.

The feedback signal from the receiving station is received by an antenna 314, and is provided to a receiver 316. Receiver 316 filters, amplifies, downconverts, quadrature demodulates, and quantizes the signal. The digitized data is provided to demodulator 318 which despreads the data with the short  $PN_I$  and  $PN_Q$  sequences, discovers the despread data with the Walsh sequence. The despread data from different correlators within demodulator 3xx are combined and descrambled with the user long PN sequence. The descrambled (or demodulated) data is provided to decoder 320 which performs the inverse of the encoding performed within channel element 304. The decoded data is provided to data sink 322, and the processor 308.

Processor 308 is configured to perform functions described in blocks 202, 204 and 206. It then controls gain stage 306 to scale the data to be transmitted to a power as determined in step 206, thus accomplishing a function described in block 208. Processor 308 is configured to perform function described in blocks 210 based on an information provided by the decoder 320 whether the transmission was received at the receiving station without error. Processor 308 is further configured to perform the decision function described in block 212, and based on the result of the decision report failure as in block 216, or cause the data source 302 together with the channel element 304, and the gain stage 3xx to re-transmit information frames that had been received in error with the next available energy as required by block 214.

The previous description of the preferred embodiments is provided to enable any person skilled in the art to make or use the present invention. The various modifications to these embodiments will be readily apparent to those skilled in the art, and the generic principles defined herein may be applied to other embodiments without the use of the inventive faculty. Thus, the present invention is not intended to be limited to the embodiments shown herein but is to be accorded the widest scope consistent with the principles and novel features disclosed herein.

30

WHAT IS CLAIMED IS:

## CLAIMS

1. A method for retransmission in a communication system,  
2 comprising the steps of:

4 determining a plurality of transmission energies in accordance with a  
predetermined reliability level and at least one characteristic of a  
communication channel;

6 transmitting a frame of information at a first transmission energy; and  
retransmitting said frame of information at a next transmission energy  
8 from said plurality of transmission energies when said frame was received in  
error.

2. The method of claim 1, wherein step of determining a plurality of  
transmission energies comprises the steps of:

4 measuring said at least one of characteristic of said communication  
channel; and

6 utilizing a look-up table to select a pre-computed transmission energies  
corresponding to said measured characteristic and said reliability level.

3. The method of claim 2, wherein said pre-computed transmission  
energies were pre-computed by the steps of:

4 solving for a minimal total energy  $\langle E \rangle$ , an equation:

$$6 \quad \langle E \rangle = E_1 + f(E_1) \cdot E_2 + f(E_1) \cdot f(E_2) \cdot E_3 + \dots + E_N \prod_{i=1}^{N-1} f(E_i),$$

8 where:  $E_i$  are said transmission energies;

$f(E_i)$  are frame error rates corresponding to said  
10 transmission energies  $E_i$ ;



subject to constraint:

12

$$T_{FER} = \prod_{i=1}^N f(E_i),$$

14

where:  $T_{FRR}$  is a target frame error rate; and

16

wherein said reliability level corresponds to said target frame error rate.

4. The method in accordance with claim 1, wherein said step of  
2 determining a plurality of transmission energies comprises the steps of:

measuring at least one of said characteristic of said communication  
4 channel;

utilizing a look-up table to select a predetermined relationship between a  
6 frame error rate and a transmission energy corresponding to said measured  
parameters;

8 solving for a minimal total energy  $\langle E \rangle$  in accordance with the equation:

$$\langle E \rangle = E_1 + f(E_1) \cdot E_2 + f(E_1) \cdot f(E_2) \cdot E_3 + \dots + E_N \prod_{i=1}^{N-1} f(E_i),$$

12

where:  $E_i$  are said transmission energies,

$f(E_i)$  are frame error rates corresponding to said  
14 transmission energies  $E_i$ ;

subject to constraint:

16

$$T_{FER} = \prod_{i=1}^N f(E_i),$$

18

where:  $T_{FRR}$  is a target frame error rate; and

20

wherein said reliability level corresponds to said target frame error rate.

5. The method of claim 1, wherein said step of determining a  
2 plurality of transmission energies comprises the step of:

determining a relationship between a frame error rate and a transmitting  
4 energy;

solving for a minimal total energy  $\langle E \rangle$ , in accordance with the equation:

6

$$\langle E \rangle = E_1 + f(E_1) \cdot E_2 + f(E_1) \cdot f(E_2) \cdot E_3 + \dots + E_N \prod_{i=1}^{N-1} f(E_i),$$

8

where:  $E_i$  are said transmission energies, and

10  $f(E_i)$  are frame error rates corresponding to said  
transmission energies  $E_i$ ;

12 subject to constraint:

14 
$$T_{FER} = \prod_{i=1}^N f(E_i),$$

16 where:  $T_{FER}$  is a target frame error rate; and

wherein said reliability level corresponds to said target frame error rate.

6. The method in accordance with claim 1, wherein said plurality of  
2 transmission energies comprises two transmission energies.

7. The method of Claim 1 wherein said step of determining a  
2 plurality of transmission energies is performed in accordance with an equation  
for estimating a minimum aggregate transmission energy.

8. An apparatus for retransmission in a communication system,  
2 comprising:

a control processor configured to:

4 determine a plurality of transmission energies in accordance with  
a predetermined reliability level and at least one characteristic of a  
6 communication channel;

provide a first set of signals indicative of a first transmission  
8 energy of said plurality of transmission energies for a frame of  
information; and

10 provide at least one additional set of signals indicative of next  
transmission energy of said plurality of transmission energies for  
12 retransmitting said frame of information when said frame of information  
was received in error.

2 9. The apparatus of claim 8, wherein said control processor  
determines a plurality of transmission energies by having been configured to:

4 measure said at least one of characteristic of said communication  
channel; and

6 utilize a look-up table to select a pre-computed transmission energies  
corresponding to said measured characteristic and said reliability level.

10. The apparatus of claim 9, wherein said control processor pre-  
2 computes said transmission energies by having been configured to:

solve for a minimal total energy  $\langle E \rangle$ , an equation:

4

$$\langle E \rangle = E_1 + f(E_1) \cdot E_2 + f(E_1) \cdot f(E_2) \cdot E_3 + \dots + E_N \prod_{i=1}^{N-1} f(E_i),$$

6

where:  $E_i$  are said transmission energies;

8  $f(E_i)$  are frame error rates corresponding to said  
transmission energies  $E_i$ ;

10 subject to constraint:

$$12 \quad T_{FER} = \prod_{i=1}^N f(E_i),$$

14 where:  $T_{FRR}$  is a target frame error rate; and  
wherein said reliability level corresponds to said target frame error rate.

11. The apparatus of claim 8, wherein said control processor  
2 determines a plurality of transmission energies by having been configured to:  
measure at least one of said characteristic of said communication  
4 channel;

utilize a look-up table to select a predetermined relationship between a  
6 frame error rate and a transmission energy corresponding to said measured  
parameters;

8 solve for a minimal total energy  $\langle E \rangle$  in accordance with the equation:

$$10 \quad \langle E \rangle = E_1 + f(E_1) \cdot E_2 + f(E_1) \cdot f(E_2) \cdot E_3 + \dots + E_N \prod_{i=1}^{N-1} f(E_i),$$

12 where:  $E_i$  are said transmission energies,

$f(E_i)$  are frame error rates corresponding to said  
14 transmission energies  $E_i$ ;

subject to constraint:

16

$$T_{FER} = \prod_{i=1}^N f(E_i),$$

18

where:  $T_{FRR}$  is a target frame error rate; and

20 wherein said reliability level corresponds to said target frame error rate.

12. The apparatus of claim 8, wherein said control processor  
 2 determines a plurality of transmission energies by having been configured to:  
 determine a relationship between a frame error rate and a transmitting  
 4 energy;

solve for a minimal total energy  $\langle E \rangle$ , in accordance with the equation:

$$\langle E \rangle = E_1 + f(E_1) \cdot E_2 + f(E_1) \cdot f(E_2) \cdot E_3 + \dots + E_N \prod_{i=1}^{N-1} f(E_i),$$

where:  $E_i$  are said transmission energies, and

$f(E_i)$  are frame error rates corresponding to said  
 transmission energies  $E_i$ ;

subject to constraint:

$$T_{FER} = \prod_{i=1}^N f(E_i),$$

where:  $T_{FER}$  is a target frame error rate; and

wherein said reliability level corresponds to said target frame error rate.

13. The apparatus of claim 8, wherein said plurality of transmission  
 2 energies comprises two transmission energies.

14. The apparatus of claim 8 wherein said control processor determines  
 2 a plurality of transmission energies by having been configured to solve an  
 equation for estimating a minimum aggregate transmission energy.

15. An apparatus for retransmission in a communication system,  
 2 comprising:  
 a control processor configured to:

4                   determine a plurality of transmission energies in accordance with  
                     a predetermined reliability level and at least one characteristic of a  
 6                   communication channel; and  
                     a transmitter for:  
 8                   transmitting a frame of information at a first transmission energy  
                     of said plurality of transmission energies; and  
 10                  retransmitting said frame of information with a next transmission  
                     energy from said plurality of transmission energies when said frame was  
 12                  received in error.

16. The apparatus of claim 15, wherein said control processor  
 2                  determines a plurality of transmission energies by having been configured to:  
                     measure said at least one of characteristic of said communication  
 4                  channel; and  
                     utilize a look-up table to select a pre-computed transmission energies  
 6                  corresponding to said measured characteristic and said reliability level.

17. The apparatus of claim 16, wherein said control processor pre-  
 2                  computes said transmission energies by having been configured to:  
                     solve for a minimal total energy  $\langle E \rangle$ , an equation:

4

$$\langle E \rangle = E_1 + f(E_1) \cdot E_2 + f(E_1) \cdot f(E_2) \cdot E_3 + \dots + E_N \prod_{i=1}^{N-1} f(E_i),$$

6

                    where:  $E_i$  are said transmission energies;

8                    $f(E_i)$  are frame error rates corresponding to said  
                     transmission energies  $E_i$ ;

10                  subject to constraint:

12 
$$T_{FER} = \prod_{i=1}^N f(E_i),$$

14 where:  $T_{FER}$  is a target frame error rate; and  
 wherein said reliability level corresponds to said target frame error rate.

18. The apparatus of claim 15, wherein said control processor  
 2 determines a plurality of transmission energies by having been configured to:  
 measure at least one of said characteristic of said communication  
 4 channel;  
 utilize a look-up table to select a predetermined relationship between a  
 6 frame error rate and a transmission energy corresponding to said measured  
 parameters;  
 8 solve for a minimal total energy  $\langle E \rangle$  in accordance with the equation:

10 
$$\langle E \rangle = E_1 + f(E_1) \cdot E_2 + f(E_1) \cdot f(E_2) \cdot E_3 + \dots + E_N \prod_{i=1}^{N-1} f(E_i),$$

12 where:  $E_i$  are said transmission energies,  
 $f(E_i)$  are frame error rates corresponding to said  
 14 transmission energies  $E_i$ ;  
 subject to constraint:

16 
$$T_{FER} = \prod_{i=1}^N f(E_i),$$

18 where:  $T_{FER}$  is a target frame error rate; and  
 20 wherein said reliability level corresponds to said target frame error rate.

19. The apparatus of claim 15, wherein said control processor  
 2 determines a plurality of transmission energies by having been configured to:  
 determine a relationship between a frame error rate and a transmitting  
 4 energy;

solve for a minimal total energy  $\langle E \rangle$ , in accordance with the equation:

$$\langle E \rangle = E_1 + f(E_1) \cdot E_2 + f(E_1) \cdot f(E_2) \cdot E_3 + \dots + E_N \prod_{i=1}^{N-1} f(E_i),$$

8 where:  $E_i$  are said transmission energies, and

10  $f(E_i)$  are frame error rates corresponding to said  
 transmission energies  $E_i$ ;

12 subject to constraint:

$$14 \quad T_{FER} = \prod_{i=1}^N f(E_i),$$

16 where:  $T_{FER}$  is a target frame error rate; and  
 wherein said reliability level corresponds to said target frame error rate.

20. The apparatus of claim 15, wherein said plurality of transmission  
 2 energies comprises two transmission energies.

21. The apparatus of claim 15 wherein said control processor  
 2 determines a plurality of transmission energies by having been configured to  
 solve an equation for estimating a minimum aggregate transmission energy.

22. An apparatus for retransmission in a communication system,  
 2 comprising:  
 a control processor configured to:



4 determine a plurality of transmission energies in accordance with  
a predetermined reliability level and at least one characteristic of a  
6 communication channel;

provide a first set of signals indicative of a first transmission  
8 energy of said plurality of transmission energies for a frame of  
information; and

10 provide at least one additional set of signals indicative of next  
transmission energy from said plurality of transmission energies for  
12 retransmitting said frame of information when said frame was received  
in error; and

14 a receiver for providing an indication to said control processor whether  
said frame was received in error.

23. The apparatus of claim 22, wherein said control processor  
2 determines a plurality of transmission energies by having been configured to:

measure said at least one of characteristic of said communication  
4 channel; and

utilize a look-up table to select a pre-computed transmission energies  
6 corresponding to said measured characteristic and said reliability level.

24. The apparatus of claim 23, wherein said control processor pre-  
2 computes said transmission energies by having been configured to:

solve for a minimal total energy  $\langle E \rangle$ , an equation:

4

$$\langle E \rangle = E_1 + f(E_1) \cdot E_2 + f(E_1) \cdot f(E_2) \cdot E_3 + \dots + E_N \prod_{i=1}^{N-1} f(E_i),$$

6

where:  $E_i$  are said transmission energies;

8  $f(E_i)$  are frame error rates corresponding to said  
transmission energies  $E_i$ ;

10 subject to constraint:

12 
$$T_{FER} = \prod_{i=1}^N f(E_i),$$

14 where:  $T_{FRR}$  is a target frame error rate; and  
wherein said reliability level corresponds to said target frame error rate.

25. The apparatus of claim 22, wherein said control processor  
2 determines a plurality of transmission energies by having been configured to:  
measure at least one of said characteristic of said communication  
4 channel;  
utilize a look-up table to select a predetermined relationship between a  
6 frame error rate and a transmission energy corresponding to said measured  
parameters;  
8 solve for a minimal total energy  $\langle E \rangle$  in accordance with the equation:

10 
$$\langle E \rangle = E_1 + f(E_1) \cdot E_2 + f(E_1) \cdot f(E_2) \cdot E_3 + \dots + E_N \prod_{i=1}^{N-1} f(E_i),$$

12 where:  $E_i$  are said transmission energies,  
 $f(E_i)$  are frame error rates corresponding to said  
14 transmission energies  $E_i$ ;  
subject to constraint:

16 
$$T_{FER} = \prod_{i=1}^N f(E_i),$$

18 where:  $T_{FRR}$  is a target frame error rate; and  
20 wherein said reliability level corresponds to said target frame error rate.

26. The apparatus of claim 22, wherein said control processor  
 2 determines a plurality of transmission energies by having been configured to:  
 determine a relationship between a frame error rate and a transmitting  
 4 energy;  
 solve for a minimal total energy  $\langle E \rangle$ , in accordance with the equation:

$$\langle E \rangle = E_1 + f(E_1) \cdot E_2 + f(E_1) \cdot f(E_2) \cdot E_3 + \dots + E_N \prod_{i=1}^{N-1} f(E_i),$$

8  
 where:  $E_i$  are said transmission energies, and  
 10  $f(E_i)$  are frame error rates corresponding to said  
 transmission energies  $E_i$ ;

12 subject to constraint:

$$14 \quad T_{FER} = \prod_{i=1}^N f(E_i),$$

16 where:  $T_{FER}$  is a target frame error rate; and  
 wherein said reliability level corresponds to said target frame error rate.

27. The apparatus of claim 22, wherein said plurality of transmission  
 2 energies comprise two transmission energies.

28. The apparatus of claim 22 wherein said control processor  
 2 determines a plurality of transmission energies by having been configured to  
 solve an equation for estimating a minimum aggregate transmission energy.

29. An apparatus for retransmission in a communication system,  
 2 comprising:  
     a control processor configured to:  
 4           determine a plurality of transmission energies in accordance with  
     a predetermined reliability level and at least one characteristic of a  
 6      communication channel;  
     a receiver for providing an indication to said control processor whether  
 8      said frame has been received in error; and  
     a transmitter for:  
 10           transmitting a frame of information at a first transmission energy  
     of said plurality of transmission energies; and  
 12           retransmitting said frame of information with a next transmission  
     energy from said plurality of transmission energies when said frame was  
 14      received in error.

30. The apparatus of claim 29, wherein said control processor  
 2 determines a plurality of transmission energies by having been configured to:  
     measure said at least one of characteristic of said communication  
 4      channel; and  
     utilize a look-up table to select a pre-computed transmission energies  
 6      corresponding to said measured characteristic and said reliability level.

31. The apparatus of claim 30, wherein said control processor pre-  
 2 computes said transmission energies by having been configured to:  
     solve for a minimal total energy  $\langle E \rangle$ , an equation:

4

$$\langle E \rangle = E_1 + f(E_1) \cdot E_2 + f(E_1) \cdot f(E_2) \cdot E_3 + \dots + E_N \prod_{i=1}^{N-1} f(E_i),$$

6

where:  $E_i$  are said transmission energies;

8  $f(E_i)$  are frame error rates corresponding to said  
transmission energies  $E_i$ ;

10 subject to constraint:

$$12 \quad T_{FER} = \prod_{i=1}^N f(E_i),$$

14 where:  $T_{FER}$  is a target frame error rate; and  
wherein said reliability level corresponds to said target frame error rate.

32. The apparatus of claim 29, wherein said control processor  
2 determines a plurality of transmission energies by having been configured to:  
measure at least one of said characteristic of said communication  
4 channel;

utilize a look-up table to select a predetermined relationship between a  
6 frame error rate and a transmission energy corresponding to said measured  
parameters;

8 solve for a minimal total energy  $\langle E \rangle$  in accordance with the equation:

$$10 \quad \langle E \rangle = E_1 + f(E_1) \cdot E_2 + f(E_1) \cdot f(E_2) \cdot E_3 + \dots + E_N \prod_{i=1}^{N-1} f(E_i),$$

12 where:  $E_i$  are said transmission energies,

$f(E_i)$  are frame error rates corresponding to said  
14 transmission energies  $E_i$ ;

subject to constraint:

16

$$T_{FER} = \prod_{i=1}^N f(E_i),$$

18

where:  $T_{FRR}$  is a target frame error rate; and  
 20 wherein said reliability level corresponds to said target frame error rate.

33. The apparatus of claim 29, wherein said control processor  
 2 determines a plurality of transmission energies by having been configured to:  
 determine a relationship between a frame error rate and a transmitting  
 4 energy;  
 solve for a minimal total energy  $\langle E \rangle$ , in accordance with the equation:

$$\langle E \rangle = E_1 + f(E_1) \cdot E_2 + f(E_1) \cdot f(E_2) \cdot E_3 + \dots + E_N \prod_{i=1}^{N-1} f(E_i),$$

8  
 where:  $E_i$  are said transmission energies, and  
 10  $f(E_i)$  are frame error rates corresponding to said  
 transmission energies  $E_i$ ;  
 12 subject to constraint:

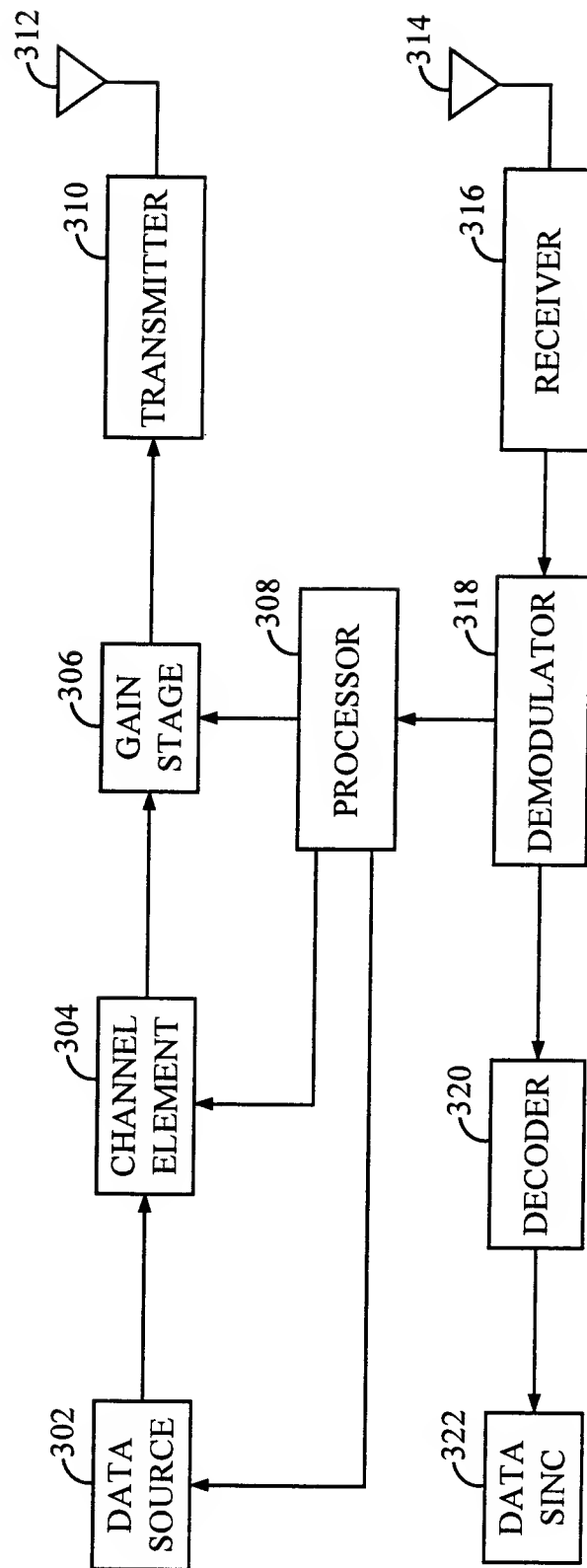
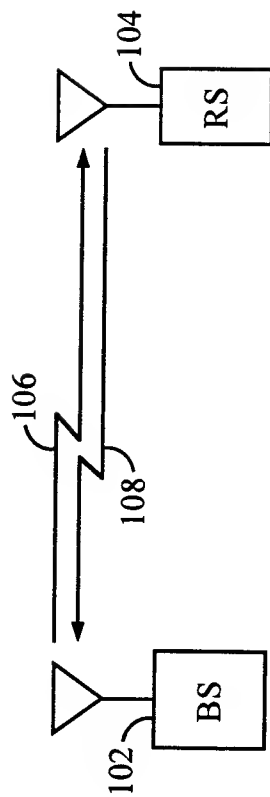
$$14 \quad T_{FER} = \prod_{i=1}^N f(E_i),$$

16 where:  $T_{FRR}$  is a target frame error rate; and  
 wherein said reliability level corresponds to said target frame error rate.

34. The apparatus of claim 29, wherein said plurality of transmission  
 2 energies comprise two transmission energies.

35. The apparatus of claim 29 wherein said control processor  
 2 determines a plurality of transmission energies by having been configured to  
 solve an equation for estimating a minimum aggregate transmission energy.

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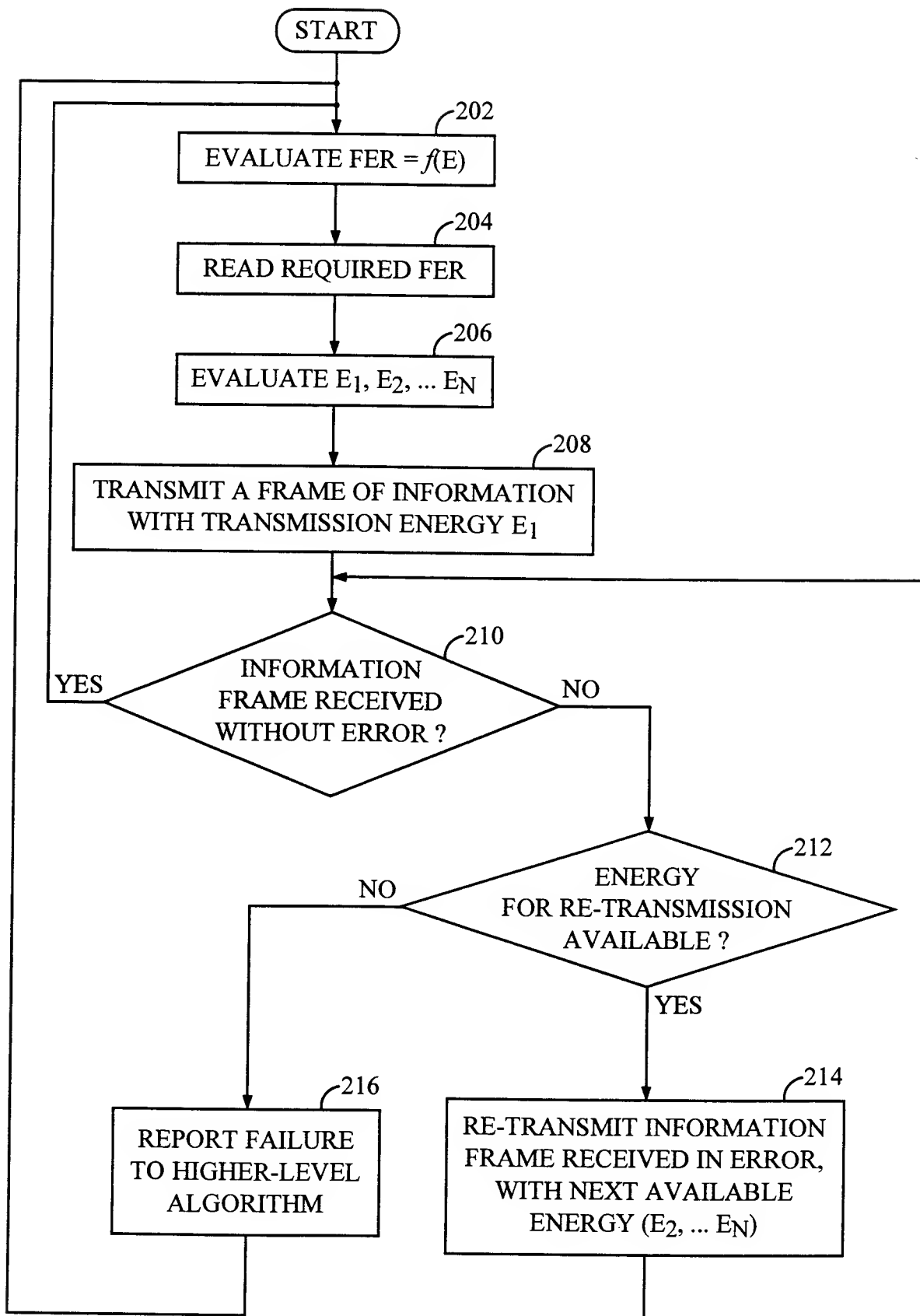


FIG. 2



## INTERNATIONAL SEARCH REPORT

Internat Application No

PCT/US 00/27534

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 H04B7/005 H04L1/18

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04L H04B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, INSPEC

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 899 906 A (LUCENT TECHNOLOGIES INC) 3 March 1999 (1999-03-03)  abstract page 1, line 23 - line 42 page 3, line 47 -page 8, line 31 figures 6,7,12 -----	1,2,6,8, 9,13,15, 16,20, 22,23, 27,29, 30,34
A	US 5 893 035 A (CHEN TAO) 6 April 1999 (1999-04-06) abstract column 2, line 38 -column 4, line 54 -----	1-35



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

## \* Special categories of cited documents:

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\*P\* document published prior to the international filing date but later than the priority date claimed

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Date of the actual completion of the international search

19 January 2001

Date of mailing of the international search report

26/01/2001

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# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 00/27534

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